### Gated Orthogonal Recurrent Units: On Learning to Forget

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### Gradient Vanishing/Explosion Problem





- **(N**t+) A
- During backpropagation through time, hidden to hidden Jacobian matrix is multiplied multiple times.
- Gradient vanishing/explosion makes RNN hard to train



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### Conventional Solution: LSTM

- Practically, gradient clipping is required
- slow to learn long term dependency



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# Unitary/Orthogonal RNN

Unitary/Orthogonal matrices keep the norm of vectors:  $\|Ux\| = \|x\|$ 

By enforcing hidden to hidden transition matrix to be unitary/ orthogonal, no matter how many time steps are propagated, the norm of the gradient will stay the same

- Restricted-capacity Unitary Matrix Parametrization (Arjovsky, ICML 2016) ullet
- Full-capacity Unitary Matrix by projection (Wisdom, NIPS 2016)
- Tunable Efficient Unitary Neural Networks (EUNN) and their application to RNN (Jing, ICML 2017)
- Orthogonal Matrix Parametrization by reflection (Mhammedi, ICML 2017)
- Orthogonal Matrix by regularization (Vorontsov, ICML 2017)  $\bullet$



$$\prod_{k=t}^{T-1} \frac{\partial \mathbf{h}^{(k+1)}}{\partial \mathbf{h}^{(k)}} \sim 1$$



## Limitation for basic Orthogonal RNN

- No forgetting mechanism
- Limited Memory size

### Applying Gated System to Orthogonal RNN



#### Gated Orthogonal Recurrent Unit

Unitary/Orthogonal Matrices — Long Term Dependency Gated Mechanism ——— Forgetting

### Experiment results

#### Synthetic Tasks: GORU is the only one succeeding in all tasks

### Copying Task Denoise Task Parenthesis Task







### Experiment results

#### **Real Tasks:** GORU outperforms all other models

#### 

|             |             |                 |           | Task                       | GORU | GRU  | LSTM | EURNN | baseline (Westo |
|-------------|-------------|-----------------|-----------|----------------------------|------|------|------|-------|-----------------|
|             |             |                 |           | 1 - Single Supporting Fact | 45.8 | 49.1 | 49.3 | 47.2  | 50              |
|             |             |                 |           | 2 - Two Supporting Facts   | 39.5 | 38.5 | 32.3 | 24.3  | 20              |
| Speech Task |             |                 |           | 3 - Three Supporting Facts | 33.5 | 32.2 | 20.6 | 22.5  | 20              |
|             |             |                 |           | 4 - Two Arg. Relations     | 62.7 | 64.6 | 67.5 | 56.1  | 61              |
|             |             |                 |           | 5 - Three Arg. Relations   | 87.0 | 78.0 | 52.3 | 56.2  | 70              |
|             |             |                 |           | 6 - Yes/No Questions       | 53.6 | 50.5 | 49.3 | 50.5  | 48              |
|             |             |                 |           | 7 - Counting               | 77.7 | 79.5 | 76.9 | 71.9  | 49              |
|             |             |                 |           | 8 - Lists/Sets             | 75.0 | 75.5 | 76.8 | 56.5  | 45              |
|             |             |                 |           | 9 - Simple Negation        | 62.9 | 63.9 | 63.5 | 60.6  | 64              |
| Model       | #parameters | MSE(validation) | MSE(test) | 10 - Indefinite Knowledge  | 45.4 | 44.8 | 46.0 | 42.6  | 44              |
| LSTM        | 98k         | 58.8            | 57.5      | 11 - Basic Coreference     | 69.3 | 71.2 | 71.1 | 72.1  | 72              |
| CDU         | 70k         | 58.0            | 57.2      | 12 - Conjunction           | 69.9 | 71.6 | 71.9 | 72.7  | 74              |
| GKU         | /2K         | 50.9            | 57.5      | 13 - Compound Coref.       | 92.7 | 94.2 | 93.8 | 92.4  | 94              |
| EURNN       | 41k         | 51.8            | 51.9      | 14 - Time Reasoning        | 37.9 | 39.2 | 34.4 | 20.0  | 27              |
| GORU        | 59k         | 45.4            | 47.6      | 15 - Basic Deduction       | 55.2 | 57.4 | 20.9 | 25.0  | 21              |
|             |             |                 |           | 16 - Basic Induction       | 44.0 | 45.9 | 45.9 | 43.3  | 23              |
|             |             |                 |           | 17 - Positional Reasoning  | 59.6 | 50.5 | 51.6 | 51.2  | 51              |
|             |             |                 |           | 18 - Size Reasoning        | 90.5 | 89.9 | 91.8 | 89.7  | 52              |
|             |             |                 |           | 19 - Path Finding          | 8.9  | 9.6  | 8.2  | 9.0   | 8.0             |
|             |             |                 |           | 20 - Agent's Motivations   | 97.7 | 97.7 | 96.5 | 93.3  | 91              |
|             |             |                 |           | Mean Performance           | 60.4 | 58.2 | 56.0 | 52.9  | 49.2            |



#### Question Answering Task





Thank you